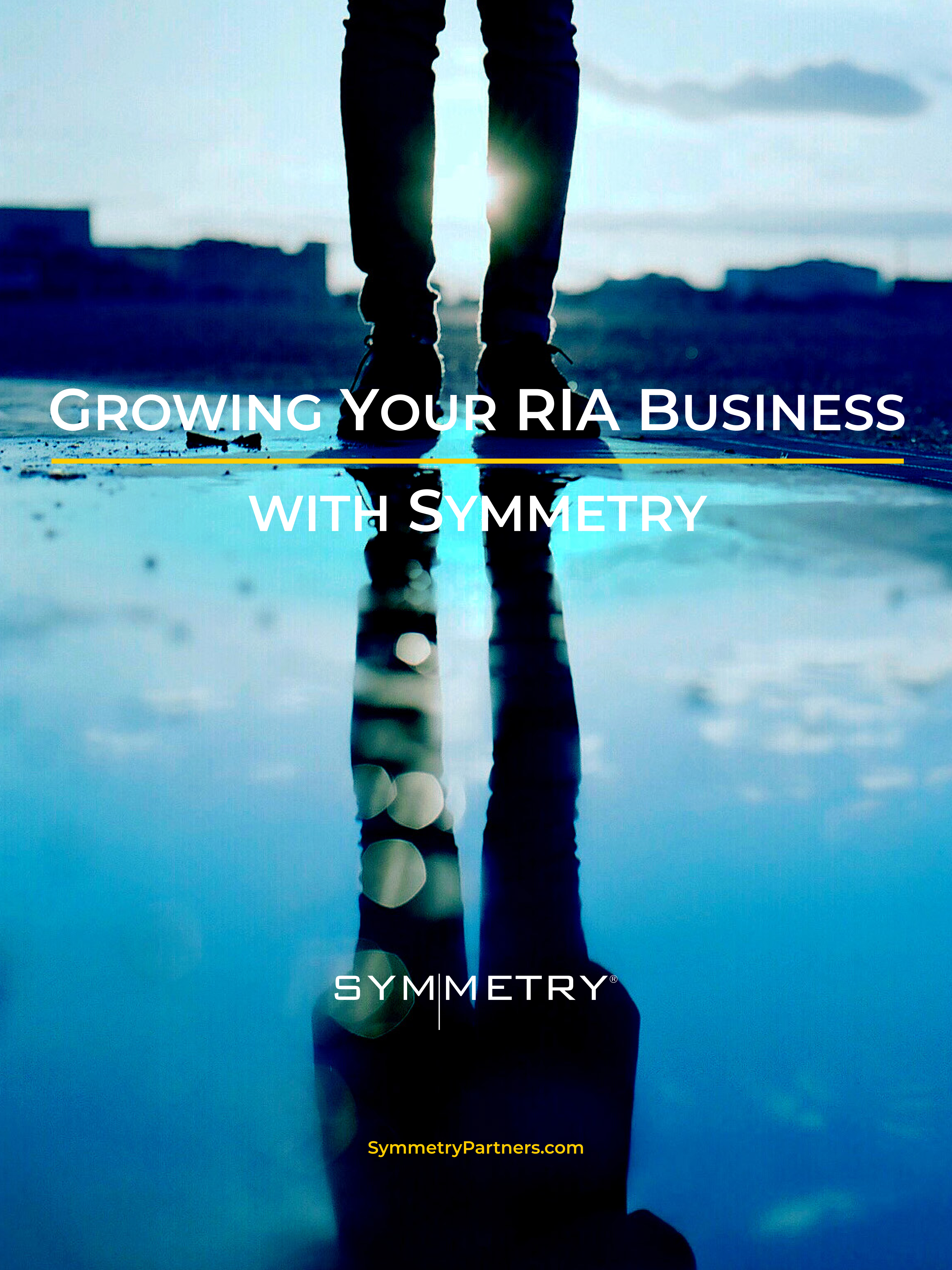

# Growing Your RIA Business

## with Symmetry

SYMMETRY®

SymmetryPartners.com

# DELIVERING A BETTER CLIENT EXPERIENCE WITH SYMMETRY



As technology continues to evolve at an ever-faster rate, finding the right partners for your RIA firm has never been more important. Sorting through your options, identifying the most effective tech stack and implementing the right solutions (as well as maintaining them) can be a significant challenge.

**If you are like most RIA firms, you are looking for better ways to:**

- Enable a better client experience by empowering your back office
- Scale your practice to allow you to do more with less time, effort, and money
- Stay ahead of change and technological innovations
- Deliver services and advice exactly the way you want

As a firm built (and still run) by Advisors for Advisors, Symmetry Partners has more than 25 years of experience working with RIA firms like yours. While we provide all the services traditionally associated with a Turnkey Asset Management Provider (TAMP), we believe that most RIAs need and deserve more. That is why we offer a comprehensive business solution that offers the choice and flexibility you need to create the services and support you require.

**Our goal is to help free you up to focus on what matters most:**
***serving and advising your clients.***

### Consultation

Understand your business processes in order to optimize them and scale and empower your firm

### Customization

Review all your options and work together to custom tailor your services and processes

### Implementation

Provide you with ongoing partnership, support and service to free up your time and resources and enable your firm's vision.

# A DEDICATED PARTNER LIKE SYMMETRY CAN HELP GROW YOUR REVENUES

## CONSULTATION

**Working with Symmetry begins with understanding what is most important to you. What do you want to achieve? What are your challenges? Preferences? Are you aware of all your options? And what are their pros and cons?**

We offer a wide range of options across portfolio management, reporting, trading and billing. We are also one of the only firms to offer you a choice of platforms—Envestnet or Symmetry RIA Solutions—and have experienced teams with deep knowledge of the ins and outs of both platforms.

- Business diagnostics
- Partnerships with the largest RIA custodians in the industry--E*TRADE Advisor Services, Charles Schwab and TD Ameritrade—as well as data integrations to other custodians
- Partnerships with the two major RIA Platforms: Envestnet and Symmetry RIA Solutions
- Specially negotiated pricing and services
- Insights and perspective on financial services and technology trends
- Collaborative roadmap for a successful platform conversion
- Dedicated team of subject matter and platform experts
- Succession planning

## CUSTOMIZATION

**We believe there is no one-size-fits-all solution for RIA firms. Your processes, services and solutions should be tailored to the unique needs of your firm and your clients. Once we understand your vision for your firm, we will work closely together on all the services and functions that can be customized. There are hundreds of ways we can customize, including in these key areas:**

- Customizing your advisor portal and dashboard
- White labeled, customized client portal
- White labeled performance reporting
- Customized look and feel
- Customized billing – you can choose how, frequency, and method in which your clients are billed
- Trading Customization – You can choose your tax aware settings and parameters, your asset allocation trading strategies, sleeve level trading, as well as use legacy investment transitions for tax-sensitive situation
- Custom rebalancing schedules

## IMPLEMENTATION

**Once we understand what is most important to you and have worked through all your options for customization, we now have a game plan for implementation. We will support you and your clients across a wide range of critical services and functions—both day-to-day and long term.**

**Risk Assessment:**

- Collection/entry of client data
- Risk Tolerance questionnaire
- Easy retention and archival of data

**Client Onboarding and Account Opening:**

- Integration with external CRMs
- Streamlined account opening workflow
- Form management
- E-Signature capabilities

**UMA Construction:**

- One account to implement a single portfolio. (Saves client from per account custodian fees)
- Manage sleeves independently or in aggregate for unique client implementations
- Customized portfolios can be implemented across multiple clients
- Tailored transition of legacy assets

**Institutional Level Trading:**

- Advisor level trading capabilities with oversight tools included
- Multi-custodian availability
- Account Model level trading or Household
- Asset Location Trading capabilities

**Comprehensive Reporting:**

- Complete picture of client's assets
- Extremely customizable reports
- Dynamic client meeting presentation abilities

**Flexible Billing:**

- Fee billing at a frequency best for your practice
- Position exclusions for non-managed assets
- Fee calculated based on percent of AUM or flat dollar

**Personalized Service & Support:**

- Experienced team of professionals with extensive functional, platform and custodian knowledge and expertise
- Dedicated service team that understands your business and clients
- Assist in training and onboarding support staff and advisors on your team

**Our goal is to provide you with the partnership, support and service you need to free up your time and resources and enable your firm's vision.**

And because technology and your firm will continue to evolve, we will meet regularly and make any changes and enhancements as necessary to make sure that our services and support optimally align with your goals.

## ABOUT SYMMETRY PARTNERS

**Founded in 1994, Symmetry Partners is headquartered in Glastonbury, CT.**

Built by financial advisors for financial advisors, Symmetry provides a complete business solution with marketing, technology and operational consulting and support, all focused on helping you deliver a better client experience.

As an asset manager, Symmetry offers best-of-breed, evidence-based investment strategies, including the firm's own family of mutual funds. Our globally-diversified investment solutions are grounded in evidence and financial science and backed by noted managers, including Dimensional Fund Advisors, AQR Capital Management, Vanguard, JP Morgan Asset Management and iShares by BlackRock. Symmetry has more than 100 employees as well as $8.4 billion in assets under management and assets under advisement*.


## NEXT STEPS

Whether you are looking for help in one or two areas or a comprehensive business solution, Symmetry Partners can help.

*Assets under Advisement is where Symmetry acts as a sub-advisor. As of December 31, 2019.



*"Scrappy," the Symmetry bull, is a symbol of our firm's belief in the long-term power of markets.*

## MORE INFORMATION

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SYMMETRY®

Invested in Your Goals

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